

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

032326-138

U.S. APPLICATION NO. (if known, see 37 C.F.R. 1.5)

Unassigned

09/830685

INTERNATIONAL APPLICATION NO.
PCT/FR99/02660INTERNATIONAL FILING DATE
29 October 1999PRIORITY DATE CLAIMED
29 October 1998TITLE OF INVENTION
COUNTERMEASURE METHOD IN AN ELECTRONIC COMPONENT USING A SECRET KEY CRYPTOGRAPHIC
ALGORITHM

APPLICANT(S) FOR DO/EO/US


Christophe CLAVIER and Jean-Sébastien CORON

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and the PCT Articles 22 and 39(1).
4. ☒ A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☒ has been transmitted by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☒ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
 - b. ☐ have been transmitted by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11. to 16. below concern other document(s) or information included:

11. ☒ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☐ Other items or information:

U.S. APPLICATION NO. (If known) 09/830685 Unassigned		INTERNATIONAL APPLICATION NO. PCT/FR99/02660		ATTORNEY'S DOCKET NUMBER 032326-138	
17. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS	
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO \$1,000.00 (960) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO \$860.00 (970) International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO \$710.00 (958) International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) \$690.00 (956) International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$100.00 (962)				ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 860.00	
Surcharge of \$130.00 (154) for furnishing the oath or declaration later than months from the earliest claimed priority date (37 CFR 1.492(e)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$ -0-	
Claims	Number Filed	Number Extra	Rate		
Total Claims	10 -20 =	-0-	X\$18.00 (968)	\$ -0-	
Independent Claims	1 -3 =	-0-	X\$80.00 (964)	\$ -0-	
Multiple dependent claim(s) (if applicable)				\$ +\$270.00 (968)	
TOTAL OF ABOVE CALCULATIONS =				\$ 860.00	
Reduction for 1/2 for filing by small entity, if applicable (see below).				\$ -0-	
SUBTOTAL =				\$ 860.00	
Processing fee of \$130.00 (156) for furnishing the English translation later than months from the earliest claimed priority date (37 CFR 1.492(f)). 20 <input type="checkbox"/> 30 <input type="checkbox"/>				\$ -0-	
TOTAL NATIONAL FEE =				\$ -0-	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 (581) per property +				\$ -0-	
TOTAL FEES ENCLOSED =				\$ 860.00	
				Amount to be: refunded \$	
				charged \$	
a. <input type="checkbox"/> Small entity status is hereby claimed. b. <input checked="" type="checkbox"/> A check in the amount of \$ <u>860.00</u> to cover the above fees is enclosed. c. <input type="checkbox"/> Please charge my Deposit Account No. <u>02-4800</u> in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed. d. <input type="checkbox"/> The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>02-4800</u> . A duplicate copy of this sheet is enclosed. NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.					
SEND ALL CORRESPONDENCE TO: <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> James A. LaBarre BURNS, DOANE, SWECKER & MATHIS, L.L.P. P.O. Box 1404 Alexandria, Virginia 22313-1404 (703) 836-6620 </div> <div style="width: 45%; text-align: right;">  SIGNATURE James A. LaBarre NAME <u>28,632</u> REGISTRATION NUMBER </div> </div>					

09/830685

J008 Rec'd PCT/PTO

30 APR 2001

Patent

Attorney's Docket No. 032326-138

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of)
Christophe CLAVIER et al) Group Art Unit: Unassigned
Application No.: Unassigned) Examiner: Unassigned
Filed: April 30, 2001)
For: COUNTERMEASURE METHOD IN)
AN ELECTRONIC COMPONENT)
USING A SECRET KEY)
CRYPTOGRAPHIC ALGORITHM)

PRELIMINARY AMENDMENT

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Prior to examination and the calculation of filing fees, kindly amend the above-identified application as follows:

IN THE SPECIFICATION:

Page 1, immediately following the title appearing on lines 1 and 2, insert the following:

--This disclosure is based upon, and claims priority from French Application No. 98/13605, filed on October 29, 1998 and International Application No. PCT/FR99/02660, filed October 29, 1999, which was published on May 11, 2000 in a language other than English, the contents of which are incorporated herein by reference.

Background of the Invention--

Page 9, before line 1, insert the following heading:

--Summary of the Invention--.

Page 11, between lines 25 and 26, insert the following heading:

--Brief Description of the Drawings--.

Page 13, between lines 2 and 3, insert the following heading:

--Detailed Description--.

IN THE CLAIMS:

Cancel claims 9 and 10.

Kindly replace claims 1-8, as follows.

1. (Amended) A countermeasure method in an electronic component using a cryptographic algorithm with a secret key, which algorithm utilizes a first manipulating means for supplying an output data item from an input data item, and the output data item is manipulated by means of critical instructions, said method including the step of utilizing other manipulation means for supplying output data, so that the output data item is unpredictable, said other means being obtained from said first manipulation means by an exclusive OR operation with a random value.

2. (Amended) A countermeasure method according to Claim 1, wherein said algorithm comprises sixteen calculation rounds, each round using manipulation means for

supplying an output data item from an input data item, the output data item being manipulated by critical instructions in the first three and the last three rounds, and wherein said method includes the steps of forming a first group comprising at least the first three rounds and another group comprising at least the last three rounds, and associating with the first group and with the last group an execution sequence using the other manipulation means in at least some rounds.

3. (Amended) A countermeasure method according to Claim 2, wherein four groups each of four successive rounds are formed, and said execution sequence is applied at least to the first group and to the last group.

4. (Amended) A countermeasure method according to Claim 3, wherein said sequence is executed in each of the groups.

5. (Amended) A countermeasure method according to Claim 2, wherein said execution sequence is applied to a first group formed from the first three rounds and to a last group formed by the last three rounds.

6. (Amended) A countermeasure method according to claim 1, wherein each execution of the algorithm includes the steps of drawing a random value and calculating said other manipulation means.

7. (Amended) A countermeasure method according to claim 1 wherein said manipulation means are tables of constants.

8. (Amended) A countermeasure method according to claim 1 wherein said manipulation means are used in combination with an additional exclusive OR operation with a value based upon the random value.

Add the following new claims:

--11. (New) The method of claim 1 wherein said random value is derived from one or both of the input and output data of said first manipulation means.

12. (New) An electronic security component have a countermeasure against attacks on a secret key cryptography technique in which data is manipulated by critical instructions, said component comprising:

a program memory having stored therein a first manipulating means for use during said critical instructions;

means for generating a random value, and

means for calculating at least one other manipulating means from said random value, to be employed during a given execution of said cryptography technique.

13. (New) The electronic security component of claim 12, wherein said first and said other manipulating means each comprise a table of constants.

14. (New) The electronic security component of claim 12, wherein said cryptography technique comprises a DES algorithm that is executed in multiple rounds.


15. (New) The electronic security component of claim 12, wherein said component is a chip card.--

REMARKS

Entry of the foregoing amendment is respectfully requested. This amendment is intended to place the claims in a more conventional format and eliminate the multiple dependency of the claims.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

By: 
James A. LaBarre
Registration No. 28,632

P.O. Box 1404
Alexandria, Virginia 22313-1404
(703) 836-6620

Date: April 30, 2001

Attachment to Preliminary Amendment dated April 30, 2001

Marked-up Claims 1-10

1. (Amended) A countermeasure method in an electronic component using a cryptographic algorithm with a secret key [(K), the use of the algorithm comprising the utilisation of first means (TC₀)], which algorithm utilizes a first manipulating means for supplying an output data item [(S)] from an input data item [(E)], and the output data item [and/or derived data being] is manipulated by means of critical instructions, [characterised in that the countermeasure method provides for the use of other] said method including the step of utilizing other manipulation means [(TC₁)] for supplying output data, so that the output data item [and the derived data are] is unpredictable, [these] said other means being obtained from [the] said first manipulation means by an exclusive OR operation with a random value [(u) or a random value (e(p(u))) derived from one or other or both of the input and output data of the said first means].

2. (Amended) A countermeasure method according to Claim 1, [the implementation of the algorithm comprising] wherein said algorithm comprises sixteen calculation rounds [(T1, ..., T16)], each round using [first means (TC₀)] manipulation means for supplying an output data item from an input data item, the output data item [and/or derived data] being manipulated by critical instructions in the first three [(T1, T2, T3)] and the last three rounds [(T14, T15, T16), characterised in that a group (G1) is formed], and wherein said method includes the steps of forming a first group comprising at least the first three rounds and another group [(G4)] comprising at least the last three

Attachment to Preliminary Amendment dated April 30, 2001

Marked-up Claims 1-10

rounds, and [in that there is associated] associating with the first group [(G1)] and with the last group [(G4)] an execution sequence [(SEQA)] using the other manipulation means [(TC₁, TC₂)] in at least some rounds.

3. (Amended) A countermeasure method according to Claim 2, [characterised in that] wherein four groups [(G1, ... G4)] each of four successive rounds [(T1, ... T4)] are formed, and [in that the] said execution sequence [(SEQA)] is applied at least to the first group [(G1)] and to the last group [(G4)].

4. (Amended) A countermeasure method according to Claim 3, [characterised in that the] wherein said sequence [(SEQA)] is executed in each of the groups [(G1, ... G4)].

5. (Amended) A countermeasure method according to Claim 2, [characterised in that the] wherein said execution sequence [(SEQA)] is applied to a first group [(G1)] formed from the first three rounds [(T1, T2, T3)] and to a last group formed by the last three rounds [(T14, T15, T16)].

6. (Amended) A countermeasure method according to [any one of the preceding claims, characterised in that] claim 1, wherein each execution of the algorithm

Attachment to Preliminary Amendment dated April 30, 2001

Marked-up Claims 1-10

[comprises the] includes the steps of drawing [of] a random value [(u), and the calculation of the] and calculating said other means.

7. (Amended) A countermeasure method according to [any one of the preceding claims, characterised in that the different] claim 1 wherein said manipulation means are tables of constants.

8. (Amended) A countermeasure method according to [any one of the preceding claims, characterised in that the different] claim 1 wherein said manipulation means are used in combination with an additional exclusive OR operation [(CP)] with a value based upon the random value [or a derived value (p(u), e(p(u))].

12/PRTS

COUNTERMEASURE METHOD IN AN ELECTRONIC COMPONENT
USING A SECRET KEY CRYPTOGRAPHY ALGORITHM

The present invention relates to a countermeasure method in an electronic component using a secret key cryptography algorithm. They are used in applications where access to services or data is strictly controlled. They have an architecture formed around a microprocessor and memories, including a program memory which contains the secret key.

These components are notably used in chip cards, for certain applications thereof. These are for example applications involving access to certain data banks, banking applications, remote payment applications, for example for television, petrol dispensing or passing through motorway tolls.

These components or cards therefore use a secret key cryptography algorithm, the best known of which is the DES (standing for Data Encryption Standard in the British and American literature) algorithm. Other

secret key algorithms exist, such as the RC5 algorithm or the COMP128 algorithm. This list is of course not exhaustive.

In general terms and briefly, the function of these algorithms is to calculate an encoded message from a message applied as an input (to the card) by a host system (server, banking dispenser etc) and the secret key contained in the card, and to supply this encoded message in return to the host system, which for example enables the host system to authenticate the component or card, to exchange data, etc.

However, it has become clear that these components or cards are vulnerable to attacks consisting of a differential analysis of the current consumption and which enable ill-intentioned third parties to find the secret key. These attacks are referred to as DPA attacks, the English acronym for Differential Power Analysis.

The principle of these DPA attacks is based on the fact that the current consumption of the microprocessor executing the instructions varies according to the data being manipulated.

Notably, an instruction from the microprocessor manipulating a data bit generates two different current profiles depending on whether this bit is "1" or "0". Typically, if the instruction is manipulating a "0", there is at this time of execution a first amplitude of the current consumed and if the instruction is manipulating a "1", there is a second amplitude of the consumed current, different from the first.

The characteristics of the cryptography algorithms are known: the calculations made, the parameters used. The only unknown is the secret key contained in the program memory. This cannot be derived solely from
5 knowledge of the message applied as an input and the encoded message supplied in return.

However, in a cryptography algorithm, some calculated data depend only on the message applied in clear to the input of the card and the secret key contained in the card. Other data calculated in the
10 algorithm can also be recalculated solely from the encoded message (generally supplied in clear at the output of the card to the host system) and the secret key contained in the card. More precisely, each bit of
15 these particular data can be determined from the input or output message, and a limited number of particular bits of the key.

Thus, to each bit of a particular data item, there corresponds a sub-key formed by a particular group of
20 bits of the key.

The bits of these particular data which can be predicted are hereinafter referred to as target bits.

The basic idea of the DPA attack is thus to use the difference in current consumption profile of an
25 instruction depending on whether it is manipulating a "1" or a "0" and the possibility of calculating a target bit by means of the instructions of the algorithm using a known input or output message and a hypothesis on the corresponding sub-key.

The principle of the DPA attack is therefore to test a given sub-key hypothesis, applying, to a large number of current measurement curves, each relating to a known input message of the attacker, a Boolean selection function, a function of the sub-key hypothesis, and defined for each curve by the value predicted for a target bit.

By making an assumption on the sub-key concerned, it is in fact possible to predict the value "0" or "1" which this target bit will take for a given input or output message.

It is then possible to apply, as a Boolean selection function, the value, "0" or "1", predicted by the target bit for the sub-key hypothesis in question, in order to sort these curves into two packets: a first packet contains the curves which have seen the manipulation of the target bit at "0" and a second packet contains the curves which have seen the manipulation of the target bit at "1" according to the sub-key hypothesis. By taking the mean of the current consumption in each packet, a mean consumption curve $M0(t)$ is obtained for the first packet and a mean consumption curve $M1(t)$ for the second packet.

If the sub-key hypothesis is correct, the first packet actually contains all the curves amongst the N curves which have seen the manipulation of the target bit at "0" and the second packet actually contains all the curves amongst the N curves which have seen the manipulation of the target bit at "1". The mean consumption curve $M0(t)$ of the first packet will then

have a mean consumption everywhere except at the times of execution of the critical instructions, with a current consumption profile characteristic of the manipulation of the target bit at "0" (profile₀). In other words, for all these curves, all the manipulated bits have had as many chances of equalling "0" as of equalling "1", except the target bit, which has always had the value "0". Which can be written:

$$M0(t) = [profile_0 + profile_1] / 2 \quad t \neq t_{ci} \quad + \quad [profile_0]_{t_{ci}}$$

that is to say

$$M0(t) = [Vm_t]_{t \neq t_{ci}} + [profile_0]_{t_{ci}}$$

where t_{ci} represents the critical instants, at which a critical instruction has been executed.

Likewise, the mean consumption curve M1(t) of the second packet corresponds to a mean consumption everywhere except at the times of execution of the critical instructions, with a current consumption profile characteristic of the manipulation of the target bit at "1" (profile₁). It is possible to write:

$$M1(t) = [profile_0 + profile_1] / 2 \quad t \neq t_{ci} \quad + \quad [profile_1]_{t_{ci}}$$

that is to say

$$M1(t) = [Vm_t]_{t \neq t_{ci}} + [profile_1]_{t_{ci}}$$

It has been seen that the two profiles, profile₀ and profile₁, are not equal. The difference between the curves M₀(t) and M₀(l) then gives a signal DPA(t), whose amplitude is equal to profile₀-profile₁ at the critical instants t_{ci} of execution of the critical instructions manipulating this bit, that is to say, in the example depicted in Figure 1, at the places tc₀ to tc₆, and whose amplitude is approximately equal to zero outside the critical instants.

If the sub-key hypothesis is false, the sorting does not correspond to reality. Statistically, there is then in each packet as many curves which have actually seen the manipulation of the target bit at "0" as there are curves which have seen the manipulation of the target bit at "1". The resulting mean curve M₀(t) is then situated around a mean value given by (profile₀+profile₁)/2=V_m, since, for each of the curves, all the bits manipulated, including the target bit, have as many chances of equalling "0" as of equalling "1".

The same reasoning on the second packet leads to a mean current consumption curve M₁(t) whose amplitude is situated around a mean value given by (profile₀+profile₁)/2=V_m.

The signal DP(t) supplied by the difference M₀(t)-M₁(t) is in this case substantially equal to zero. The signal DPA(t) in the case of a false sub-key hypothesis is shown in Figure 2.

Thus the DPA attack exploits the difference in the current consumption profile during the execution of an

instruction depending on the value of the bit manipulated, in order to effect a sorting of current consumption curves according to a Boolean selection function for a given sub-key hypothesis. By effecting
 5 a differential analysis of the mean current consumption between the two packets of curves obtained, an information signal $DPA(t)$ is obtained.

A DPA attack then consists overall in:

a- drawing N random messages (for example N equal
 10 to 1000);

b- having the algorithm executed by the card for each of the N random messages, reading the current consumption curve each time (measured on the supply terminal of the component);

15 c- making an assumption on a sub-key;

d- predicting, for each of the random messages, the value taken by one of the target bits whose value depends only the bits of the message (input or output) and on the sub-key taken as a hypothesis, in order to
 20 obtain the Boolean selection function;

e- sorting the curves according to this Boolean selection function (that is to say according to the value "0" or "1" predicted for this target bit for each curve under the sub-key hypothesis);

25 f- calculating, in each packet, the resulting mean current consumption curve;

g- taking the difference between these mean curves, in order to obtain the signal $DPA(t)$.

If the hypothesis on the sub-key is correct, the
 30 Boolean selection function is correct and the curves of

the first packet actually correspond to the curves for which the message supplied as an input or output gave a target bit at "0" in the card and the curves in the second packet actually correspond to the curves for which the message applied as an input or output gave a target bit at "1" in the card.

Take the case in Figure 1: the signal $DPA(t)$ is therefore not zero at times tc_0 to tc_6 corresponding to the execution of the critical instructions (those which manipulate the target bit).

It should be noted that the attacker does not need to know precisely the critical instants. It suffices for there to have been at least one critical instant in the period of acquisition.

If the sub-key hypothesis is not correct, the sorting does not correspond to reality and there are then in each packet as many curves corresponding in reality to a target bit at "0" as there are curves corresponding to a target bit at "1". The signal $DPA(t)$ is substantially zero everywhere (the case shown in Figure 2). It is necessary to return to step c- and to make a new assumption on the sub-key.

If the hypothesis proves correct, it is possible to pass to the evaluation of other sub-keys, until the key has been reconstituted to the maximum possible extent. For example, with a DES algorithm, a key of 64 bits is used, of which only 56 are useful bits. With a DPA attack, it is possible to reconstitute at least 48 bits of the 56 useful bits.

The purpose of the present invention is to use, in an electronic component, a countermeasure method which gives rise to a zero signal $DPA(t)$, even where the sub-key hypothesis is correct.

5 In this way, nothing makes it possible to distinguish the case of the correct sub-key hypothesis from the false sub-key hypotheses. By means of this countermeasure, the electronic component is protected against DPA attacks.

10 However, in the invention, it was realised that it did not suffice to take steps so that the signal $DPA(t)$ is zero relative to a given target bit.

This is because, if the value taken by several target bits of the same data item manipulated by the critical instructions is considered, it will be necessary to sort the curves not into two packets, but into several packets. There is no longer a binary selection function. It can be shown that, by next grouping these packets in one way or another, it is possible to obtain a non-zero signal $DPA(t)$ in the case of a correct sub-key hypothesis, whereas it would have been zero if it had been sorted according to a binary selection function on a single target bit.

20 Take for example two target bits of the same data item. These two target bits can take the following 2^2 values: "00", "01", "10" and "11".

25 By applying the selection function to the $N=1000$ current consumption curves measured, four packets of curves are obtained. If the sorting is correct, a first packet of approximately 250 curves corresponds to

the value "00", a second packet of approximately 250 curves corresponds to the value "01", a third packet of approximately 250 curves corresponds to the value "10" and a fourth packet of approximately 250 curves corresponds to the value "11".

If the first and fourth packets are grouped together in a first group and the second and third packets in a second group, two groups are obtained which are not equivalent.

In the first group, the two bits have as many chances of equalling "00" as of equalling "11". The mean value at the critical instants of all the consumption curves of this group can be written:

$$M1 \quad (t_{ci}) = [\text{consumption}("00") + \text{consumption}("11")] / 2$$

In the second group, the two bits have as many chances of equalling "01" as of equalling "10". The mean value at the critical instants of all the consumption curves of this group can be written:

$$M2 \quad (t_{ci}) = [\text{consumption}("01") + \text{consumption}("10")] / 2$$

If the difference is taken between these two averages, a non-zero signal $DPA(t)$ is obtained. In other words, the two groups whose mean consumptions are compared do not have equivalent contents.

In the invention, it has therefore been sought to prevent the obtaining of any significant signal within the meaning of the DPA attack. Whatever the number of target bits taken, whatever the combination of packets made to effect a comparison of the mean consumptions,

the signal $DPA(t)$ will always be zero. It is therefore necessary to obtain equivalent packets, whatever the number of target bits considered.

One solution to these different technical problems has been found in the use of a random value in an exclusive OR operation with one or other or both of the input and output data of means used in the algorithm.

With a use according to the invention of such a random value, the data manipulated by the critical instructions becomes unpredictable whilst having a correct result at the output of the algorithm.

As characterised, the invention therefore concerns a countermeasure method in an electronic component using a secret key cryptographic algorithm, the use of the algorithm comprising the utilisation of first means for supplying an output data item from an input data item, the output data item and/or derived data being manipulated by means of critical instructions. According to the invention, the countermeasure method provides for the use of other means, so that the output data item and the derived data are unpredictable, these other means being obtained from the said first means by an exclusive OR operation with a random value or a random value derived from one or other or both of the input and output data of the said first means.

Other characteristics and advantages of the invention are detailed in the following description given for information and in no way limitatively, and with reference to the accompanying drawings, in which:

- Figures 1 and 2, already described, depict the signal $DPA(t)$ which can be obtained as a function of a hypothesis on a sub-key of the secret key K , according to a DPA attack;

5 - Figures 3 and 4 are flow diagrams depicting the first rounds and the last rounds of the DES algorithm;

- Figure 5 is a block diagram of the operation SBOX used in the DES algorithm;

10 - Figure 6 shows an example of an elementary table of constants with one input and one output used in the operation SBOX;

- Figure 7 shows a first example of a flow diagram for executing the DES with a countermeasure method according to the invention;

15 - Figure 8 is a flow diagram of the first rounds of the corresponding DES;

- Figures 9 and 10 depict respectively a flow diagram for execution of the DES and a detailed flow diagram of the first rounds, in a second mode of application of the countermeasure method according to the invention;

20 - Figures 11 and 12 correspond to a third mode of application of the countermeasure method according to the invention;

25 - Figure 13 depicts a flow diagram for execution of the DES in a variant of the third mode of application;

- Figure 14 depicts a simplified block diagram of a chip card containing an electronic component in which

the countermeasure method according to the invention is implemented.

The DES secret key cryptographic algorithm (hereinafter the term DES or DES algorithm will more simply be used) contains 16 calculation rounds, denoted T1 to T16, as depicted in Figures 3 and 4.

The DES begins with an initial permutation IP on the input message M (Figure 3). The input message M is a word f of 64 bits. After permutation, a word e of 64 bits is obtained, which is divided into two in order to form the input parameters L0 and R0 of the first round (T1). L0 is a word d of 32 bits containing the 32 most significant bits of the word e. R0 is a word h of 32 bits containing the 32 least significant bits of the word e.

The secret key K, which is a word q of 64 bits, itself undergoes a permutation and compression in order to supply a word r of 56 bits.

The first round comprises an operation EXP PERM on the parameter R0, consisting of an expansion and a permutation, in order to supply a word l of 48 bits as an output.

This word l is combined with a parameter K1, in an operation of the exclusive OR type denoted XOR, in order to supply a word b of 48 bits. The parameter K1, which is a word m of 48 bits, is obtained from the word r by a shift by one position (the operation denoted SHIFT in Figures 3 and 4) followed by a permutation and a compression (the operation denoted COMP PERM).

The word b is applied to an operation denoted SBOX, at the output of which a word a of 32 bits is obtained. This particular operation will be explained in more detail in relation to Figures 5 and 6.

5 The word a undergoes a permutation P PERM, giving as an output the word c of 32 bits.

10 This word c is combined with the input parameter L_0 of the first round T_1 , in a logic operation of the exclusive OR type, denoted XOR, which supplies the word g of 32 bits as an output.

15 The word h ($=R_0$) of the first round supplies the input parameter L_1 of the following round (T_2) and the word g of the first round supplies the input parameter R_1 of the following round. The word p of the first round supplies the input r of the following round.

Other rounds T_2 to T_{16} take place in a similar fashion, except with regard to the shift operation SHIFT, which takes place on one or two positions according to the rounds in question.

20 Each round T_i thus receives as an input the parameters L_{i-1} , R_{i-1} and r and supplies as an output the parameters L_i and R_i and r for the following round T_{i+1} .

25 At the end of the DES algorithm (Figure 4), the encoded message is calculated from the parameters L_{16} and R_{16} supplied by the last round T_{16} .

This calculation of the encoded message C comprises in practice the following operations:

- formation of a word e' of 64 bits by reversing the position of the words L_{16} and R_{16} , and then concatenating them;

5 - application of the permutation IP^{-1} which is the reverse of that of the start of the DES, in order to obtain the word f' of 64 bits forming the encoded message C.

10 The operation SBOX is detailed in Figures 5 and 6. It comprises a table of constants TC_0 for supplying an output data item a as a function of an input data item b.

15 In practice, this table of constants TC_0 is in the form of eight elementary tables of constants TC_01 to TC_08 , each receiving as an input only 6 bits of the word b, in order to supply only 4 bits of the word a as an output.

20 Thus the elementary table of constants TC_01 depicted in Figure 6 receives, as an input data item, the bits b_1 to b_6 of the word b and supplies as an output data item the bits a_1 to a_4 of the word a.

 In practice these eight elementary tables of constants TC_01 to TC_08 are stored in the program memory of the electronic component.

25 In the operation SBOX of the first round T_1 , a particular bit of the output data item a of the table of constants TC_0 depends on only 6 bits of the data item b applied as an input, that is to say only 6 bits of the secret key K and the input message (M).

30 In the operation SBOX of the last round T_{16} , a particular bit of the data item a output from the table

of constants TC_0 can be recalculated from only six bits of the secret key K and the encoded message (C) .

However, if the principle of the DPA attack is repeated, if a bit of the output data item a is chosen as the target bit, it suffices to make an assumption on 6 bits of the key K , in order to predict the value of a target bit for a given input (M) or output (C) message. In other words, for the DES, it suffices to make an assumption on a sub-key of 6 bits.

In a DPA attack on such an algorithm for a given set of target bits issuing from a given elementary table of constants, it is therefore necessary to distinguish one correct sub-key hypothesis amongst 64 possible ones.

Thus, from the output bits of the eight elementary tables of constants TC_0 to TC_7 , it is possible to discover up to $8 \times 6 = 48$ bits of the secret key, by making DPA attacks on corresponding target bits.

In the DES, critical instructions in the sense of DPA attacks are therefore found at the start of the algorithm and at the end.

At the start of the DES algorithm, the data which can be predicted from an input message M and from a sub-key hypothesis are the data a and g calculated in the first round (T_1) .

The data item a from the second round T_1 (Figure 3) is the output data item from the operation $SBOX$ of the round in question. The data item g is calculated from the data item a , by permutation $(P \text{ PERM})$ and exclusive OR operation with the input parameter L_0 .

In fact, the data item c of the first round is a data item derived from the data item a of the first round. The derived data item c corresponds to a simple permutation of bits of the data item a .

5 The data item l of the first round is a data item derived from the data item g of the first round, since it corresponds to a permutation of the bits of the word g , certain bits of the word g also being duplicated.

10 Knowing a and g , it is also possible to know these derived data.

15 The critical instructions of the start of the algorithm are the critical instructions which manipulate either the data item which can be predicted, such as the data item a or the data item g of the first round, or a derived data item.

20 The critical instructions manipulating the data item a of the first round $T1$ or the derived data item c are thus the instructions for the end of the operation SBOX, of the operation P PERM and the start of the operation XOR of the first round $T1$.

25 The critical instructions manipulating the data item g or the derived data are all the instructions of the end of the operation XOR of the end of the first round $T1$ as far as the instructions for the start of the operation SBOX of the second round $T2$, and the instructions for the start of the XOR operation at the end of the third round $T3$ ($L2 = h(T2) = g(T1)$).

30 At the end of the DES algorithm, the data which can be predicted from an encoded message C and a subkey hypothesis are the data item a of the sixteenth

round T16 and the data item L15 equal to the word h of the fourteenth round T14.

5 The critical instructions manipulating the data item a of the sixteenth round or derived data are the instructions of the sixteenth round of the end of the operation SBOX, of the permutation operation P PERM and of the start of the operation XOR.

10 For the data item L15, the critical instructions manipulating this data item or derived data are all the instructions from the instructions of the end of the operation XOR of the fourteenth round T14, up to the instructions for the start of the operation SBOX of the fifteenth round T15, plus the instructions for the start of the operation XOR of the sixteenth round T16.

15 The countermeasure method according to the invention applied to this DES algorithm consists in making each of the data manipulated by the critical instructions unpredictable. Thus, whatever the target bit or bits used, the signal DPA(t) will always be zero.

20

With regard to the application of the countermeasure method according to the invention to the DES algorithm, it is therefore necessary to apply the countermeasure to the critical instructions for the start of the DES and to the critical instructions for the end of the DES, in order to be completely protected.

25

In the DES, all the data manipulated by critical instructions are an output item or data derived from an output data item from an operation SBOX.

30

This is because, at the start of the DES, the data which can be predicted are the data a and g of the first round T1. The data item a is the output data item of the operation SBOX of the first round. The data item g is calculated from the data item a, since $g = P \text{ PERM}(a) \text{ XOR } L0$. g is therefore a data item derived from the output data item a of the operation SBOX of the first round. Thus all the data manipulated by the critical instructions of the start of the DES result directly or indirectly from the output data item a of the operation SBOX of the first round.

With regard to the end of DES, the data which can be predicted are the data item a of the sixteenth round T16 and the data item g of the fourteenth round T14, g being equal to L15.

The data item a is the output data item of the operation SBOX of the sixteenth round T16.

As for the data item L15, this is calculated, in the normal execution of the DES algorithm, from the output data item a of the operation SBOX of the fourteenth round T14: $L15 = P \text{ PERM}(a) \text{ XOR } L14$.

If the output data a of these particular operations SBOX are made unpredictable, all the derived data are also made unpredictable: all the data manipulated by the critical instructions of the DES algorithm are therefore made unpredictable. If it is considered that these operations SBOX constitute first means for supplying an output data item $S=a$ from an input data item $E=b$, the countermeasure method applied to the DES algorithm consists in using other means for

making the output data item unpredictable, so that this output data item and/or derived data manipulated by the critical instructions are all unpredictable.

These other means can include different means.
5 They are calculated from the first means by applying an exclusive OR with a random value or a random value derived from one or other or both of the input and output data items of the first means.

10 The use of this random value is such that the result output, that is to say the encoded message, remains correct.

Figure 7 depicts a first embodiment of the invention. In this embodiment, the sixteen rounds of the DES algorithm are divided into four groups G1 to G4
15 of four successive rounds. The group G1 thus comprises the rounds T1 to T4, the group G2 the rounds T5 to T8, the group G3 the rounds T9 to T12 and the group G4 the rounds T13 to T16.

20 In a conventional execution of the DES algorithm, it has been seen that each round comprises the use of first means TC_0 in an operation SBOX.

In the first mode of application of the countermeasure method, other means are calculated by doing an exclusive OR with a random value u and/or with
25 a derived value $e(p(u))$ on one or other or both of the input and output data of the first means TC_0 . Then an identical execution sequence SEQA is applied to each group, which consists of using these other calculated means.

According to the invention, a random value u is used which is a data item of 32 bits. It is for example possible to draw a random value of 32 bits, or else draw a random value of 4 bits and copy them 8
 5 times in order to obtain the random value u in 32 bits.

The derived variable equal to $e(p(u))$ is then calculated, where $p(u)$ corresponds to the result of the operation P PERM applied to the value u and where $e(p(u))$ is the result of the operation EXP PERM applied
 10 to the value $p(u)$.

It is then possible to calculate the other means used in the invention.

In the example depicted with reference to Figure 7, these other means comprise second means TC_2 and third means TC_1 .
 15

The second means TC_2 are used in the second round and the penultimate round of each group; that is to say in T2, T3 of G1, T6, T7 of G2, T10, T11 of G3 and T14 and T15 of G4.

The second means TC_2 are used in the second round and the penultimate round of each group: that is to say in T2, T3 of G1, T6, T7 of G2, T10, T11 of G3 and T14 and T15 of G4.
 20

The second means TC_2 are calculated by applying an exclusive OR with the derived random variable $e(p(u))$ to the input data item E and by applying an exclusive OR with the random value u to the output data item S of the first means TC_0 , which can be written:
 25 $TC_2 = (E \oplus e(p(u)), S \oplus u)$.

The third means TC_1 are used in the first round and the last round of each group. That is to say in T_1 , T_4 of G_1 , T_5 , T_8 of G_2 , T_9 , T_{12} of G_3 and T_{13} , T_{16} of G_4 .

5 The third means TC_1 are calculated by applying an exclusive OR with the random variable u to the output data item S of the first means TC_0 , which can be written; $TC_1 = (E, S \oplus u)$.

10 The calculation program then consists, at the start of execution of the algorithm, in drawing a random value u , in the example in 4 bits, calculating the derived random variable $e(p(u))$, and then calculating the different means used in the execution sequence SEQA. In the example, it is necessary to
15 calculate the second and third means TC_2 and TC_1 .

 The correct result for the output parameters is obtained at the output of each group. Thus the output parameters L_4 and R_4 of the first group G_1 , L_8 and R_8 of the second group G_2 , L_{12} and R_{12} of the third group
20 G_3 , L_{16} and R_{16} of the fourth group G_4 are correct whatever the random variable drawn.

 When all the rounds have been effected, the correct parameters L_{16} and R_{16} are obtained, which will make it possible to calculate the correct encoded
25 message C .

 On the other hand, within the groups, certain intermediate results do not have the same values according to the sequence used, but values corresponding to the exclusive OR operation with the
30 random value u or with the derived random value

$e(p(u))$, as will be shown with reference to Figures 3 and 8.

Figure 8 shows the detailed flow diagram of the four rounds T1, T2, T3 and T4 of the first group G1, in the execution sequence SEQA according to the invention.

In this sequence, the round T1 uses the third means TC_1 . At the output of the operation SBOX, the randomly modified data item $a \oplus u$ (Figure 8) is therefore obtained, in spite of the data item a according to the normal sequence of the DES, that is to say without countermeasure (Figure 3).

With the execution sequence SEQA according to the invention, the operation P PERM of the first round T1, which is a simple permutation, will therefore also supply as an output a randomly modified data item equal to $c \oplus p(u)$.

The data item which is obtained by the XOR operation between a data item $c \oplus p(u)$ and the data item L0 will also supply as an output a randomly modified data item $g \oplus p(u)$. This data item applied to the operation EXP PERM will supply as an output the randomly modified data item denoted $l \oplus e(p(u))$.

Thus, with the third means TC_1 of the round T1, all the following randomly modified data are obtained:

- in round T1: $a \oplus u$, $c \oplus p(u)$, $g \oplus p(u)$;
- in round T2: $R1 \oplus p(u)$, $h \oplus p(u)$, $l \oplus e(p(u))$, $b \oplus e(p(u))$;
- in round T3: $L2 \oplus p(u)$.

The second means TC_2 used in the round T2 are then arrived at. According to their definition: $E \oplus e(p(u), S \oplus u$, by applying as an input the randomly modified data item $b \oplus e(p(u)$, the randomly modified data item $a \oplus u$ is obtained as an output. By taking this reasoning as far as the end of the round T4, and by noting that $p(u) \oplus p(u) = 0$, the non-modified data L4, R4 are obtained at the output of the round T4.

In addition, it is found that, for all the critical instructions for the start of the DES, the critical instructions will manipulated data modified in a random fashion.

With such a countermeasure method, it is necessary to provide, at the start of the DES, the drawing of the random value u and the calculation of the means used in the execution sequence SEQA. These means, calculated at each execution of the DES, are stored, for the execution time, in the working memory, the first means TC_0 which serve for the calculation being for their part stored in the program memory.

Returning to Figure 7, it can be noted that there is no need for a countermeasure in the middle groups G2 and G3, since they do not contain any critical instructions within the meaning of a DPA attack. It would therefore be possible to apply the execution sequence SEQA of the countermeasure method only to the first and last group G1 and G4. It would then suffice to use the first means (TC_0) in the groups G2 and G3.

However, applying the countermeasure method to all the groups gives coherence to the whole.

Thus the sequence SEQA is applied to each of the groups G1 to G4. A second embodiment of the countermeasure method is depicted in Figure 9. This second embodiment is in fact a variant of the first.

The advantage of this variant is that it uses, as other means in the sequence SEQA, only the second means TC₂. This is because it has been seen that the different means TC₀, TC₁, TC₂ correspond in practice to tables of constants each comprising eight elementary tables of constants, which it is necessary to recalculate with regard to the means TC₁ and TC₂ at each new execution of the DES, and to keep in working memory.

This variant therefore consists in using only the second means TC₂ in the sequence SEQA. For this purpose, in the program for calculating the first and second rounds of each group, an additional exclusive OR operation CP with the derived random variable $e(p(u))$ is provided, in order to obtain, at the input of the second means, the data item $b \oplus e(p(u))$. This operation is denoted CP($e(p(u))$) in the figures. If Figure 10 is referred to, depicting the detailed flow diagram of the sequence SEQA of execution of the four rounds T1 to T4 of the first group G1, it is therefore a case of applying, at the input of the operation SBOX of the rounds T1 and T4, a variable $b \oplus e(p(u))$. The additional operation CP plus the second means TC₂ are equivalent to

the third means TC_1 used in the first embodiment of the invention.

Calculation time is saved there, since the operation CP is executed only twice in one group, that is to say eight times for a complete SEQA sequence on the four groups, whilst the calculation of a table requires carrying out this information $b\oplus e(p(u))$ for all the input data of this table.

It will be noted that the additional exclusive OR operation CP with the variable $e(p(u))$ can be positioned at various places in the first and last rounds, that is to say between the operation EXP PERM and the operation XOR or between the operation XOR and the operation SBOX.

It can also be remarked that it is possible to use an additional exclusive OR operation CP with the derived random variable $p(u)$ placing this additional operation CP($p(u)$) before the operation EXP PERM. $l\oplus e(p(u))$ is obtained as an output, and therefore there will next be $b\oplus e(p(u))$.

In all cases, the data item $b\oplus e(p(u))$ is obtained at the input of the operation SBOX.

Figure 11 depicts a third example embodiment of a countermeasure method according to the invention.

In this embodiment, a first group G1 is formed with the first three rounds T1, T2, T3 and another group G4 with the last three rounds T14, T15, T16. The execution sequence SEQA is applied to each group with the other means for at least some rounds.

For the other rounds not included in the groups, that is to say for rounds T4 to T13, the first means TC_0 are applied.

At the output of each group G1, G4, the correct
5 result is obtained at the output L3, R3 and L16, R16, whatever the random variable u drawn.

The other means are, in the example, the third means TC_1 already seen in relation to the first embodiment and fourth means TC_3 .

10 These fourth means are calculated with respect to the first means TC_0 by applying an exclusive OR to the input data item E , with the derived random variable $e(p(u))$.

Thus, after having drawn the random variable u ,
15 and having calculated the derived random variable, the different means used in the execution sequence SEQA are calculated. Then this sequence SEQA is applied to the first group. The parameters L3, R3 are obtained as an output. The following rounds T4 to T3 are executed
20 with the first means TC_0 . At the end of the round T13, the sequence SEQA is applied to the group G4. The parameters L16, R16 are obtained, which will serve to calculate the encoded message C .

Figure 12 is a corresponding detailed flow
25 diagram.

It is clear in this flow diagram that randomly modified data are obtained for all the critical instructions of these rounds. The data L3 and R3 of the output of the third round are not modified, which
30 makes it possible to continue the execution of the

algorithm, passing to the round T4, at which the first means TC_0 are applied according to the normal execution of the algorithm.

In this figure, it can be remarked that, in the operation SBOX of the third round T3, it would be possible to use the first means TC_0 in place of the third calculated means TC_1 , by providing an additional exclusive OR operation CP at the output of the operation SBOX, in order to make an exclusive OR of the output with the random variable u , in order to obtain the data item $a \oplus u$ at the input of the operation XOR. This is an equivalent solution.

Figure 13 shows an execution flow diagram using this variant. For the third round in the two groups G1 and G4, use is made, in the execution sequence SEQ_A, of the first means TC_0 followed at the output of the additional exclusive OR instruction with the variable u , which is denoted T3(TC_0 , CP(u)).

In general terms, in the countermeasure method according to the invention, it is therefore possible to provide, in the execution sequence SEQ_A and for one or more rounds, an additional exclusive OR instruction CP at the input or output of the means used with the variable u or a derived random variable $p(u)$ or $e(p(u))$ according to circumstances.

The present invention applies to the DES secret key cryptography algorithm, for which several examples of non-limitative application have been described. It applies more generally to a secret key cryptography algorithm with sixteen calculation rounds, whose

critical instructions are situated amongst the instructions of the first three or last three rounds.

An electronic component 1 implementing a countermeasure method according to the invention in a DES secret key cryptography algorithm typically comprises, as shown in Figure 10, a microprocessor μP , a program memory 2 and a working memory 3. In order to be able to manage the use of the different means TC_0 , TC_1 , TC_2 according to the invention, which are, in practice, tables of constants stored in program memory, means 4 of generating a random value between 0 and 1 are provided which, if reference is made to the flow diagrams in Figures 7 and 11, will supply the random value u at each execution of the DES. Such a component can in particular be used in a chip card 5, in order to improve its resistance to tampering.

CLAIMS

1. A countermeasure method in an electronic component using a cryptographic algorithm with a secret key (K), the use of the algorithm comprising the utilisation of first means (TC₀) for supplying an output data item (S) from an input data item (E), the output data item and/or derived data being manipulated by means of critical instructions, characterised in that the countermeasure method provides for the use of other means (TC₁), so that the output data item and the derived data are unpredictable, these other means being obtained from the said first means by an exclusive OR operation with a random value (u) or a random value (e(p(u))) derived from one or other or both of the input and output data of the said first means.

2. A countermeasure method according to Claim 1, the implementation of the algorithm comprising sixteen calculation rounds (T₁, ..., T₁₆), each round using first means (TC₀) for supplying an output data item from an input data item, the output data item and/or derived data being manipulated by critical instructions in the first three (T₁, T₂, T₃) and the last three rounds (T₁₄, T₁₅, T₁₆), characterised in that a group (G₁) is formed comprising at least the first three rounds and another group (G₄) comprising at least the last three rounds, and in that there is associated with the first group (G₁) and with the last group (G₄) an execution sequence (SEQA) using the other means (TC₁, TC₂) in at least some rounds.

3. A countermeasure method according to Claim 2, characterised in that four groups (G1, ... G4) each of four successive rounds (T1, ... T4) are formed, and in that the said execution sequence (SEQA) is applied at
5 least to the first group (G1) and to the last group (G4).

4. A countermeasure method according to Claim 3, characterised in that the said sequence (SEQA) is executed in each of the groups (G1, ... G4).

10 5. A countermeasure method according to Claim 2, characterised in that the said execution sequence (SEQA) is applied to a first group (G1) formed from the first three rounds (T1, T2, T3) and to a last group formed by the last three rounds (T14, T15, T16).

15 6. A countermeasure method according to any one of the preceding claims, characterised in that each execution of the algorithm comprises the drawing of a random value (u), and the calculation of the other means.

20 7. A countermeasure method according to any one of the preceding claims, characterised in that the different means are tables of constants.

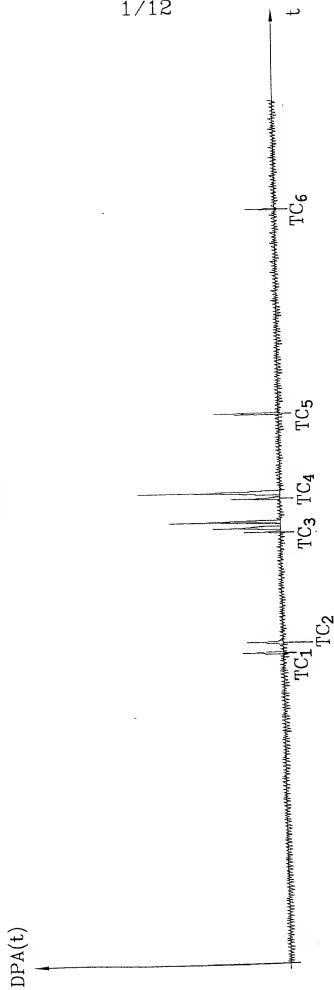
8. A countermeasure method according to any one of the preceding claims, characterised in that the
25 different means are used in combination with an additional exclusive OR operation (CP) with the random value or a derived value ($p(u)$, $e(p(u))$).

9. An electronic security component implementing the countermeasure method according to any one of the
30 preceding claims, characterised in that the first means

(TC₀), for supplying an output data item from an input data item, are fixed in the program memory (1) of the said component, the other means (TC₁, TC₂) being calculated at each new execution of the algorithm and stored in the working memory (3), and in that it comprises means (4) for generating a random value (u) for calculating the said other means.

10. A chip card comprising an electronic security component according to Claim 9.

1/12

FIG.1

2/12

FIG. 2

DPA(t)

t

FOUO 58502800

3/12

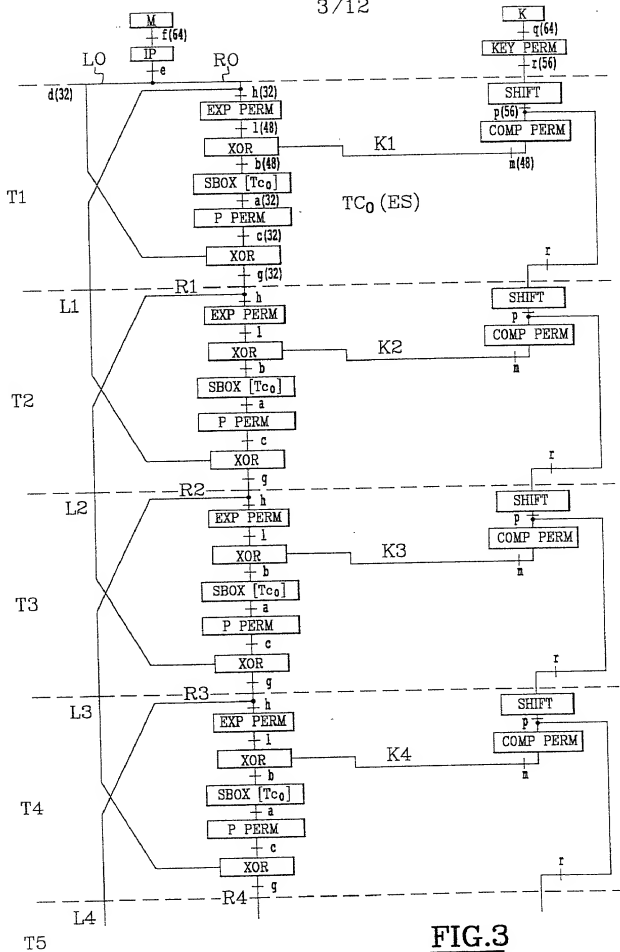


FIG.3

T12

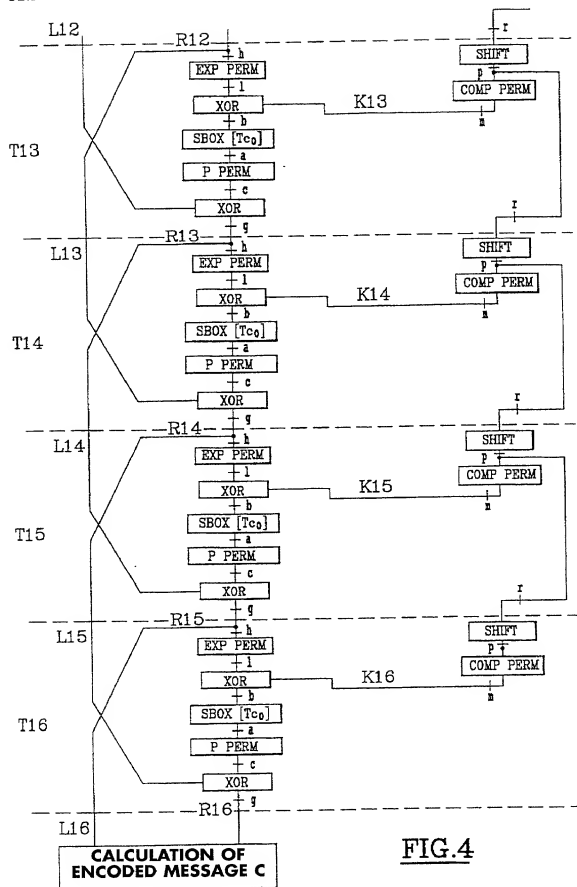
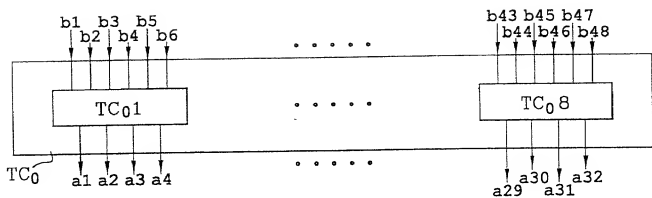


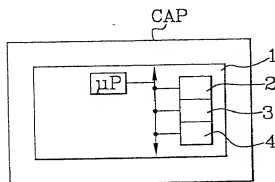
FIG.4

5/12

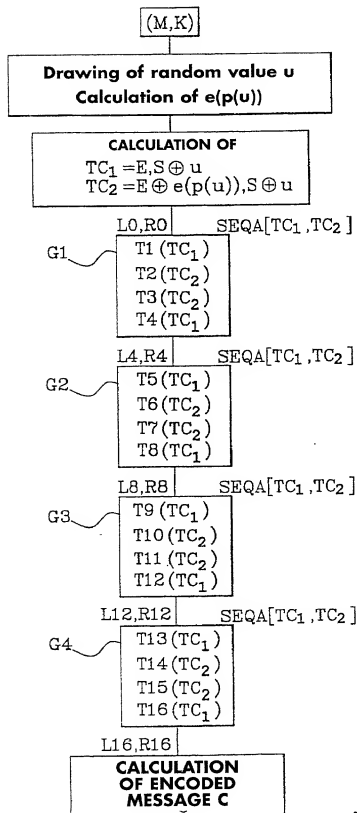
FIG. 5

TC_{0 1}

E=b1b2b3b4b5b6	S=a1a2a3a4
000000	1101
000001	0101
⋮	⋮
111111	1010

FIG. 6FIG. 14

6/12

FIG.7



8/12

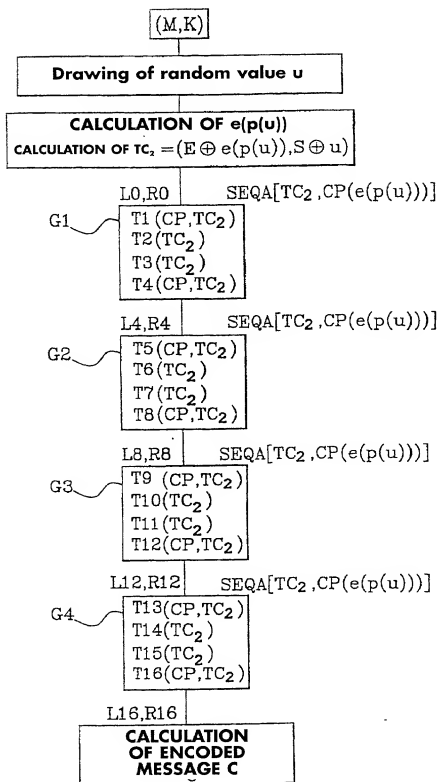
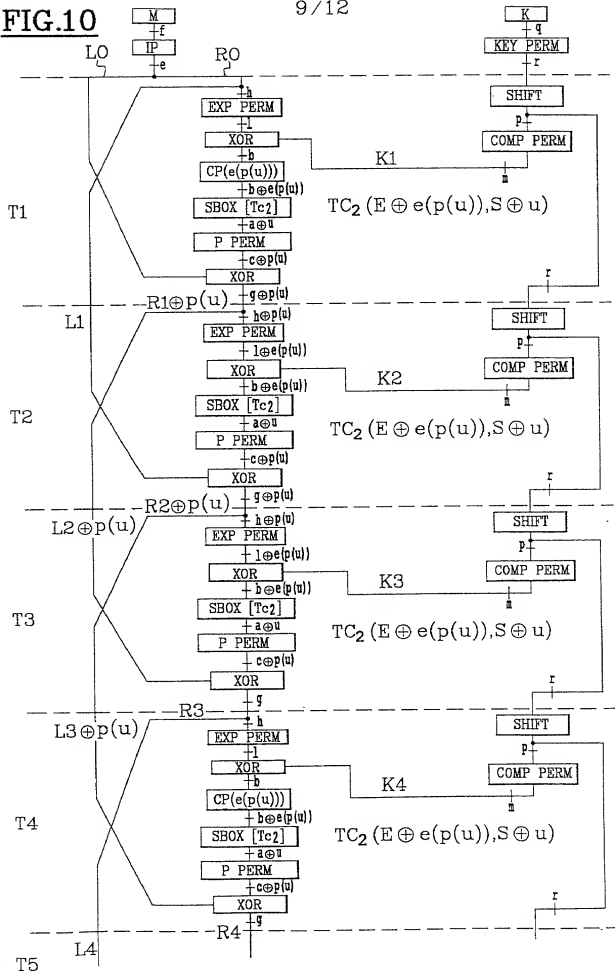
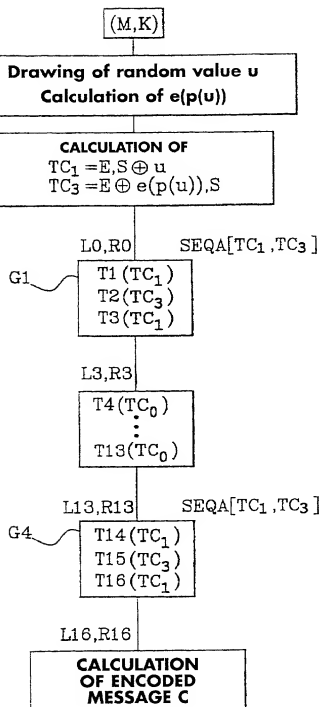
FIG.9

FIG.10

9/12



10/12

FIG.11

11/12

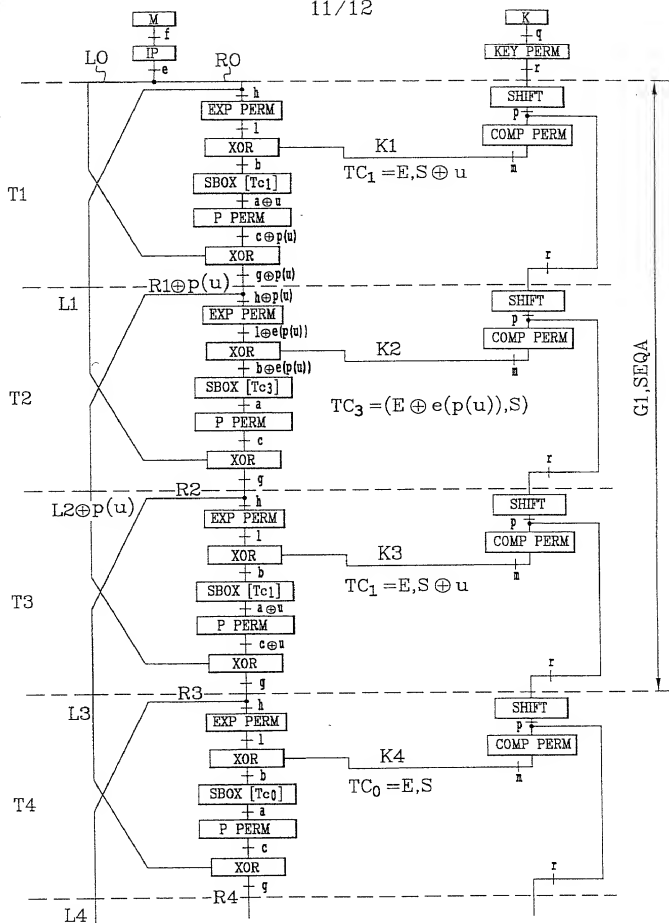
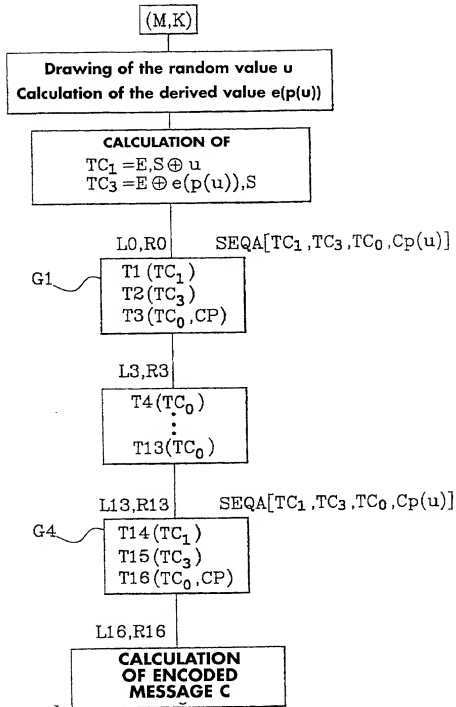


FIG.12

12/12

FIG.13